

HIGHER-ORDER ALGEBRAIC THEORIES AND RELATIVE MONADS

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(Categories and Companions Symposium 2021)

Outline

- Algebraic theories
- Second-order algebraic theories
- Higher-order algebraic theories
- Relative monads, monads, and theories

I. ALGEBRAIC THEORIES

First-order operators

$$1. \quad \frac{\Gamma \vdash a \quad \Gamma \vdash b}{\Gamma \vdash a \times b}$$

Multiplication

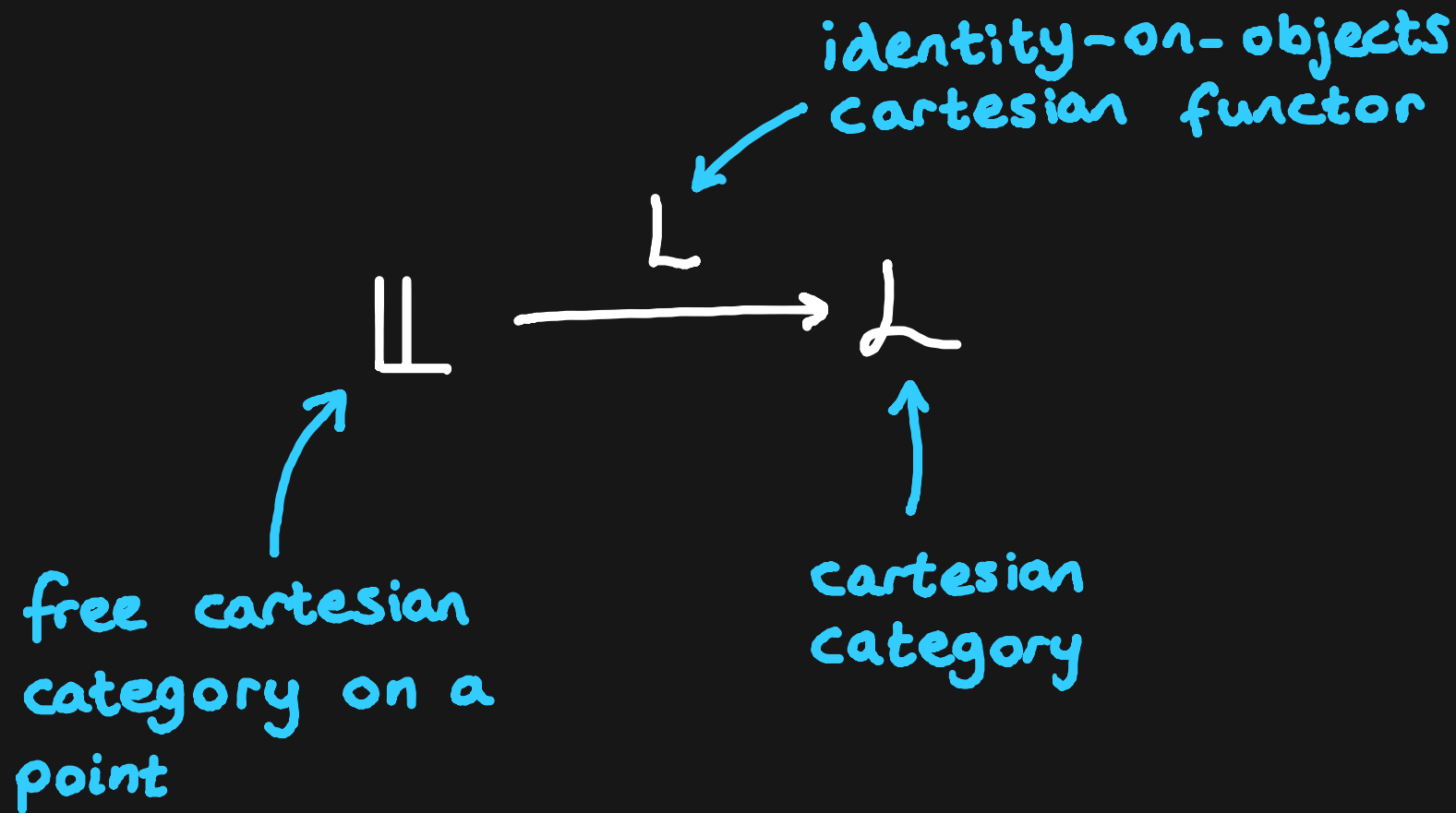
$$2. \quad \frac{\Gamma \vdash a}{\Gamma \vdash a^{-1}}$$

Inverses

$$3. \quad \frac{\Gamma \vdash m : M \quad \Gamma \vdash a : A}{\Gamma \vdash m * a : A}$$

Actions

Algebraic theories



(Here, 'cartesian' means finite products.)

Algebraic theories

$$\mathbb{L} \xrightarrow{\quad \mathcal{L} \quad} \mathcal{L}$$

The objects of \mathcal{L} are given by X^n for X the generating object, and $n \in \mathbb{N}$.

A morphism $X^n \xrightarrow{\vec{t}} X^m$ represents an m -tuple of terms in n variables:

$$\langle x_1, \dots, x_n + t_i \rangle_{1 \leq i \leq m}$$

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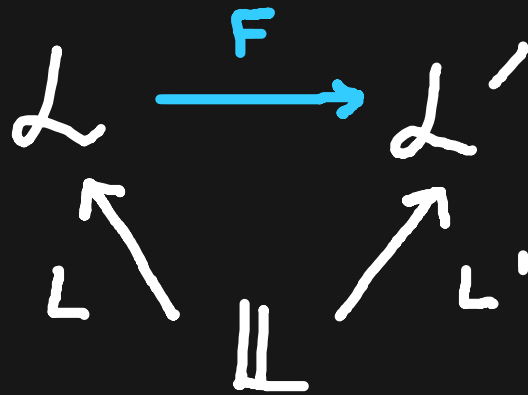
A morphism $X^n \xrightarrow{\vec{t}} X^m$ represents an m -tuple of terms in n variables:

$$\langle x_1, \dots, x_n \vdash t_i \rangle_{1 \leq i \leq m}$$

n -ary operation $\langle t_i : X^n \rightarrow X \rangle_i$

Algebraic theories

A **map** of algebraic theories is a commutative triangle



Algebraic theories and their maps form a category

Law.

Monads and theories

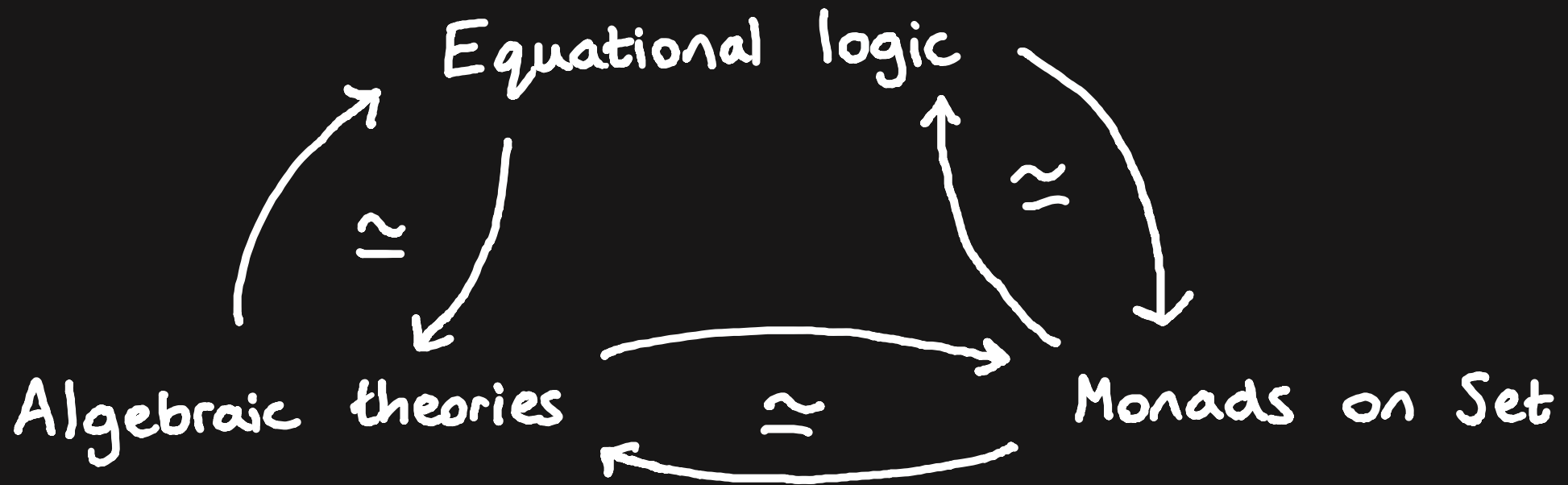
There is a classic equivalence between algebraic theories and (strongly) finitary monads on the category of sets.

$$\text{Law} \simeq \text{Mnd}_f(\text{Set}) = \text{Mnd}_{sf}(\text{Set})$$

Finitary = preserves filtered colimits

Strongly finitary = preserves sifted colimits
(sifted-cocontinuous)

Universal algebra



II. SECOND-ORDER ALGEBRAIC THEORIES

[Fiore & Mahmoud, 2010]

Second-order operators

1.
$$\frac{\Gamma, x \vdash f \quad \Gamma \vdash x_0}{\Gamma \vdash \frac{df}{dx}(x_0)}$$
 Differential operators
(cf. Plotkin 2020)

2.
$$\frac{\Gamma, x \vdash P}{\Gamma \vdash \exists x. P}$$
 Logical quantifiers

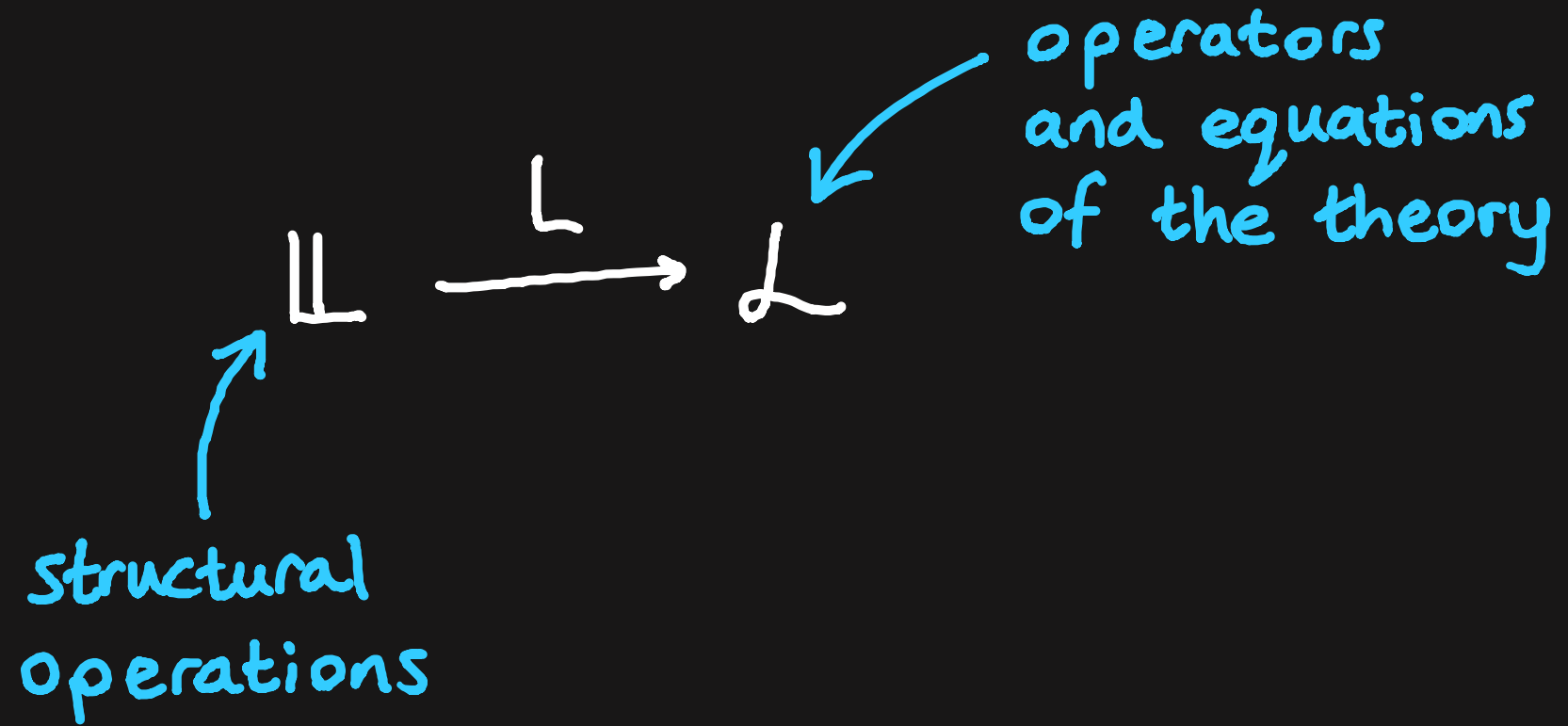
3.
$$\frac{\Gamma, x \vdash t}{\Gamma \vdash \lambda x. t}$$
 λ -abstraction

Second-order operators

$$4. \quad \frac{\Gamma \vdash t : A + B \quad \Gamma, a : A \vdash u : C \quad \Gamma, b : B \vdash v : C}{\Gamma \vdash \text{case}(t, a.u, b.v) : C}$$

Coproducts,
case-splitting

$$5. \quad \frac{\Gamma, x : X \vdash f : X}{\Gamma \vdash \text{fix}(f) : X} \quad \text{Fixed points}$$



Second-order theory of equality

\mathbb{L}_2 is the free cartesian category with an exponentiable object (i.e. an object such that $(-)^X : \mathbb{L}_2 \rightarrow \mathbb{L}_2$ exists).

Objects of \mathbb{L}_2 are given by products

$$X^{X^{n_1}} \times \dots \times X^{X^{n_k}}$$

with morphisms given by projection and evaluation.

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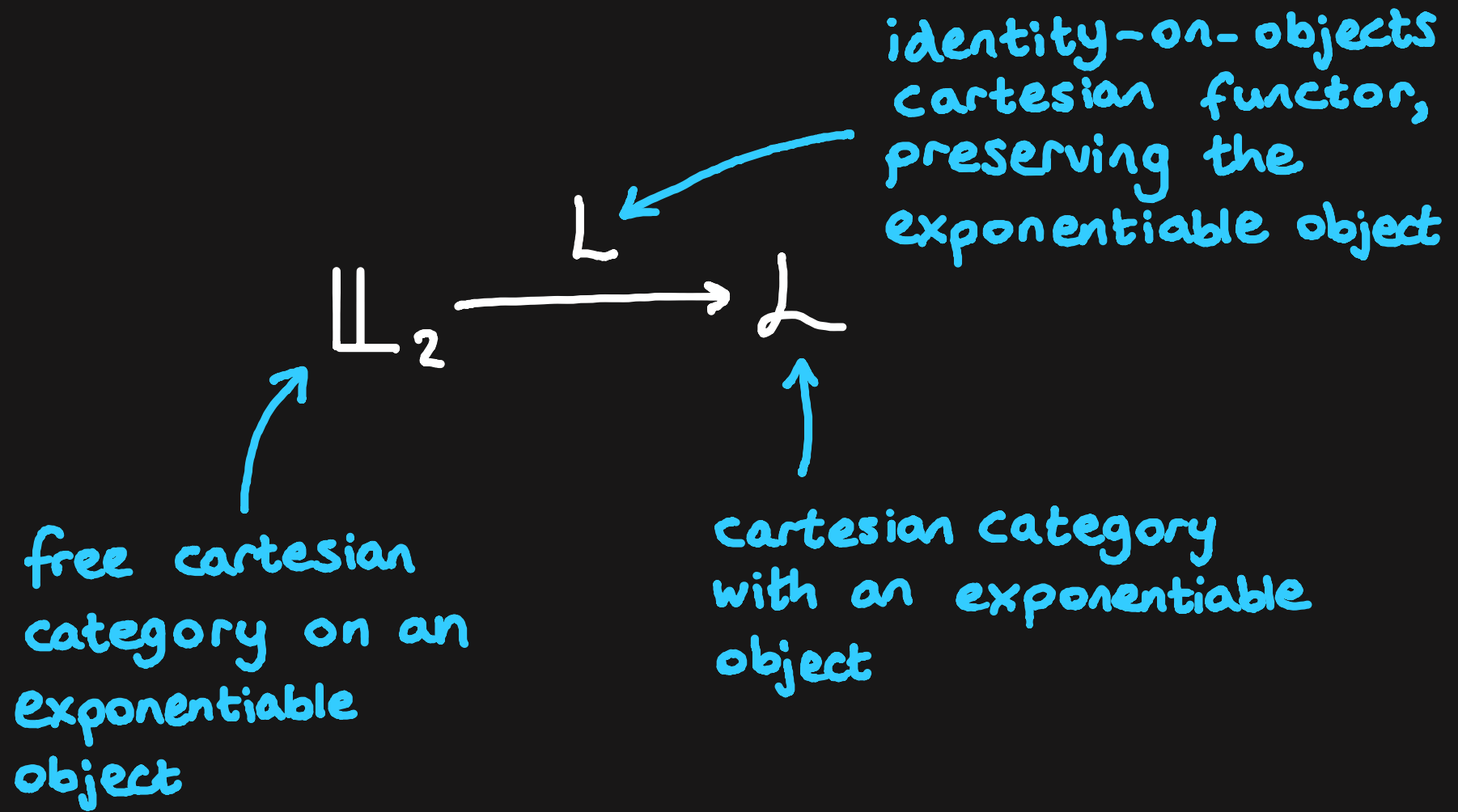
Objects of \mathbb{L}_2 are given by products

$$X^{X^{n_1}} \times \dots \times X^{X^{n_k}}$$

← exponents are the objects of \mathbb{L}

with morphisms given by projection and evaluation.

Second-order algebraic theories



Second-order algebraic theories

$$\mathbb{L}_2 \xrightarrow{\mathcal{L}} \mathcal{L}$$

A morphism $X^{x^{n_1}} \times \dots \times X^{x^{n_k}} \xrightarrow{t} X^{x^{m_1}} \times \dots \times X^{x^{m_l}}$
in \mathcal{L} represents an \mathcal{L} -tuple of terms in
 k metavariables and m_i variables:

$$\langle (x_1^1, \dots, x_{n_1}^1) x_1, \dots, (x_1^k, \dots, x_{n_k}^k) x_k, y_1, \dots, y_{m_i} \vdash t \rangle_i$$

parameterised variable ordinary variable

'Differentiate $f(x)$ with respect to x and evaluate at x_0 .'

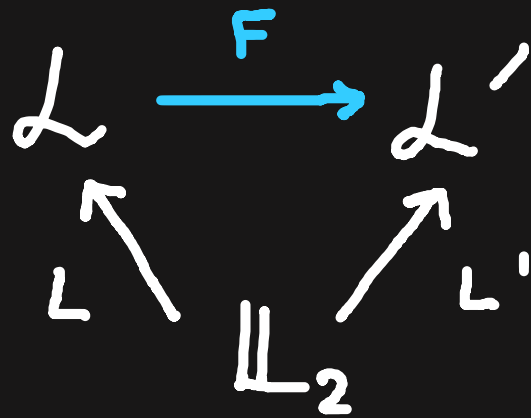
$$\partial(x, f(x), x_0)$$

represented by

$$x^x \times x \xrightarrow{\partial} x$$

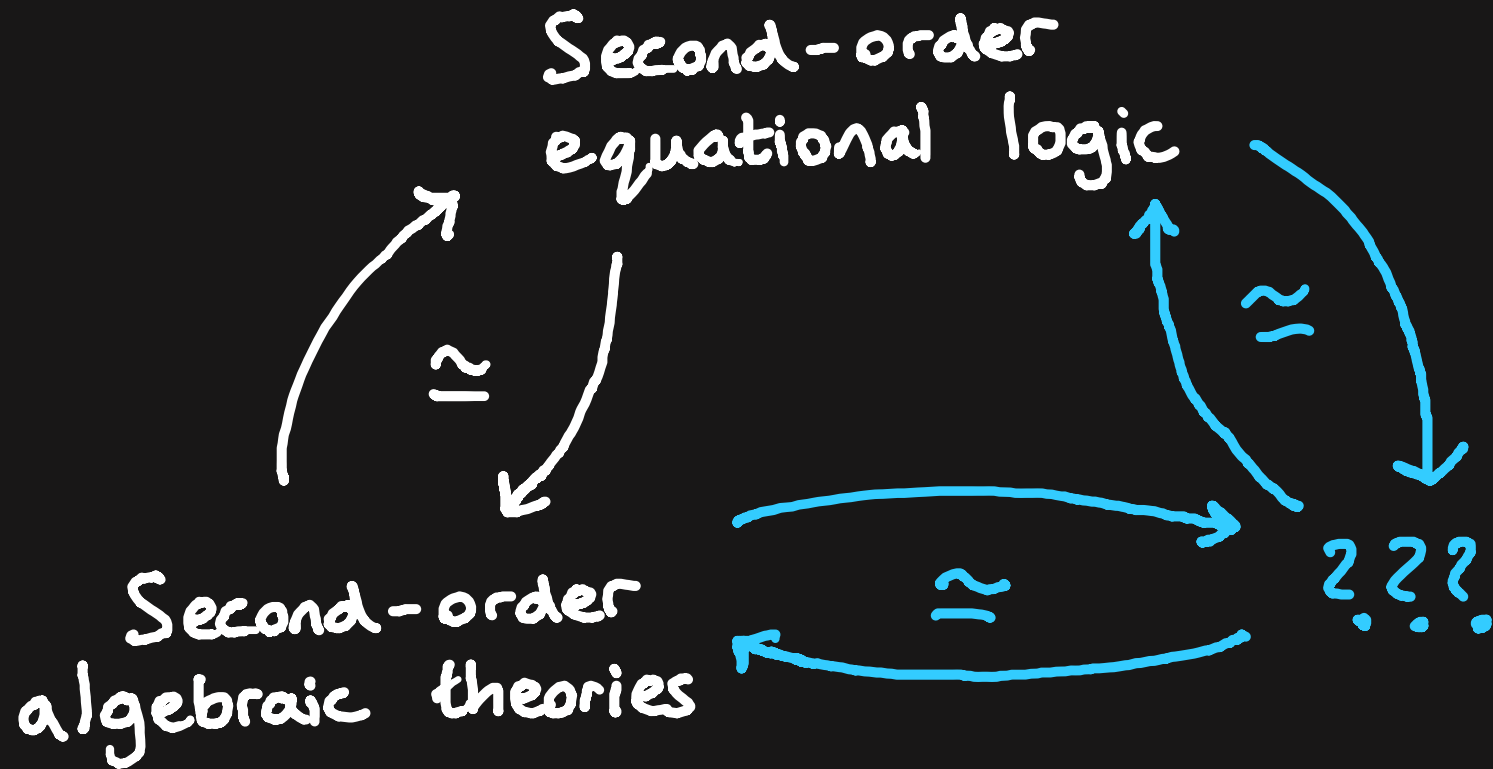
Second-order algebraic theories

A **map** of second-order algebraic theories is a commutative triangle

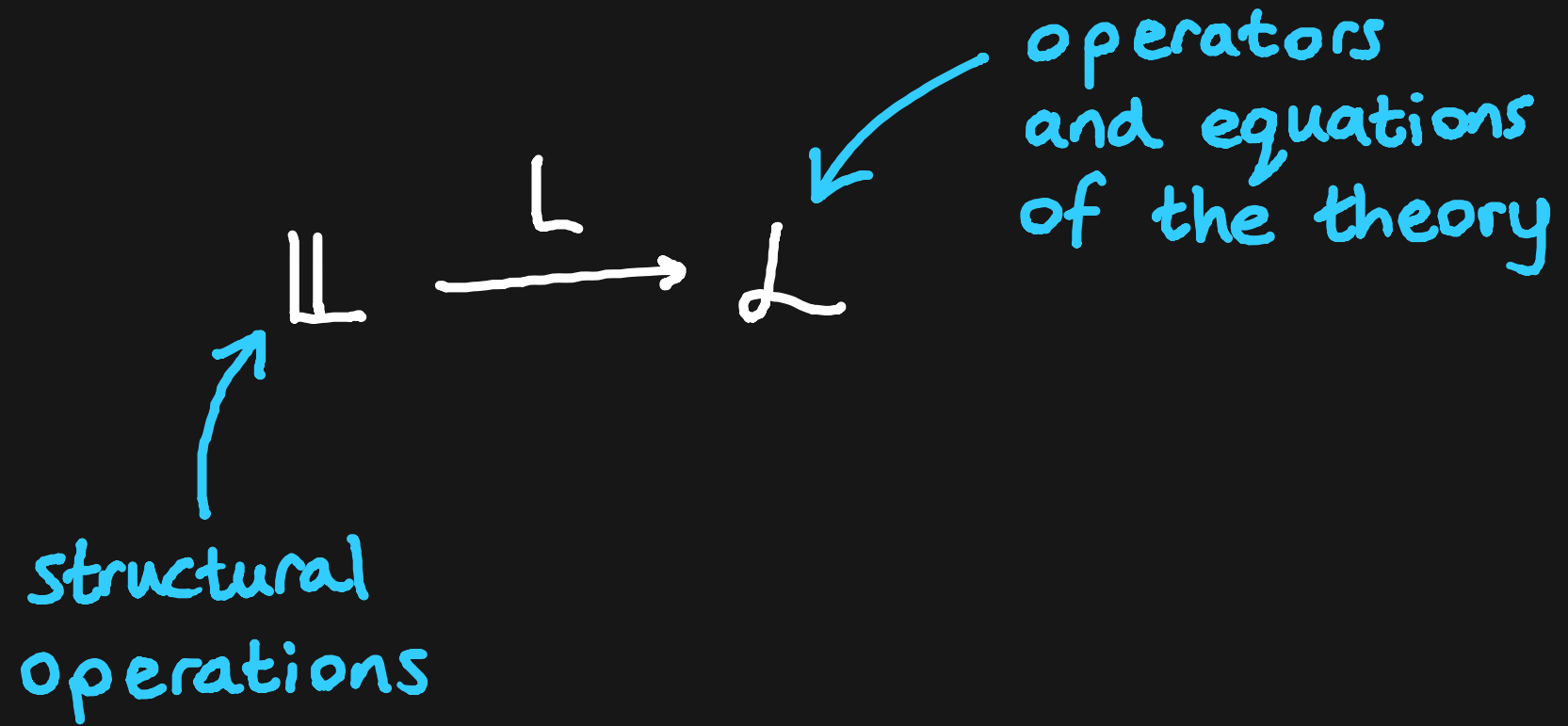


Second-order algebraic theories and their maps form a category \mathbf{Law}_2 .

Second-order universal algebra



III . HIGHER-ORDER ALGEBRAIC THEORIES



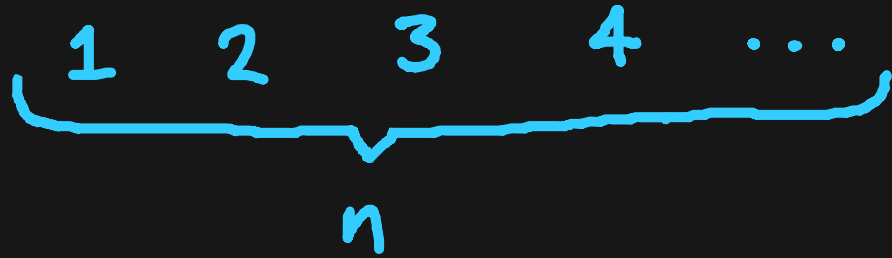
Higher-order theory of equality

\mathbb{L}_n is the free cartesian category on an n -tetrable object (i.e. an object X such that $1, X, X^X, X^{X^X}, \dots$ is exponentiable).



Higher-order theory of equality

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Intuitively, morphisms in \mathbb{L}_n represent operators taking operators as operands.

Higher-order theory of equality

\mathbb{L}_n is the free cartesian category on an n -tetrable object (i.e. an object X such that $1, X, X^X, X^{X^X}, \dots$ is exponentiable).

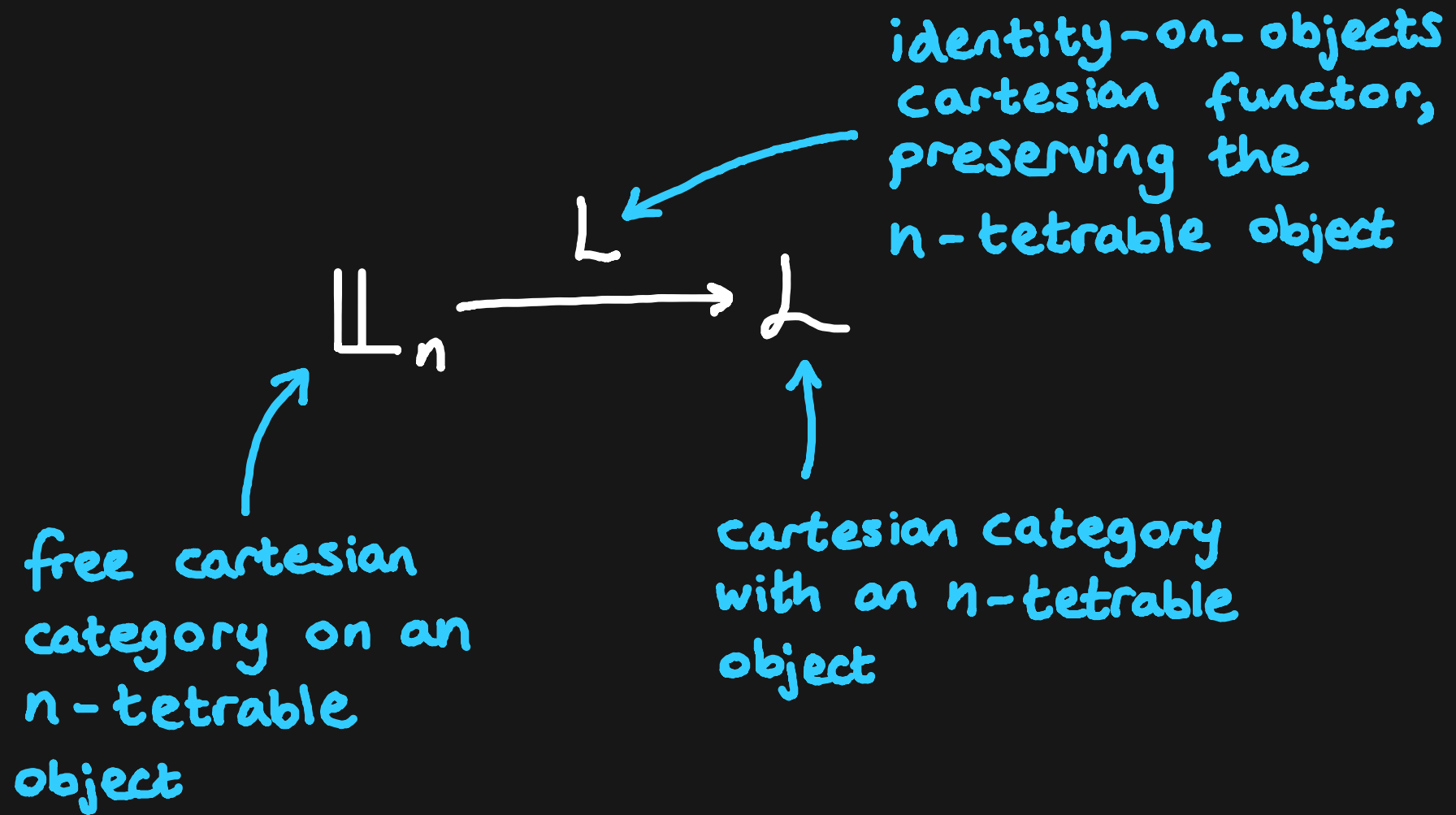
We have:

$$\mathbb{L} = \mathbb{L}_1 \longleftrightarrow \mathbb{L}_2 \longleftrightarrow \dots \longleftrightarrow \mathbb{L}_\omega$$

↑ free cartesian category on a point

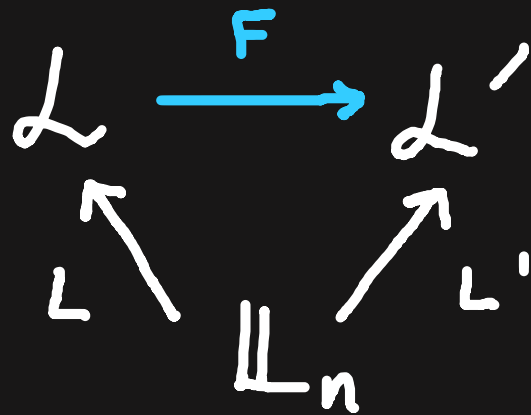
↑ free cartesian-closed category on a point

Higher-order algebraic theories



Higher-order algebraic theories

A **map** of n^{th} -order algebraic theories is a commutative triangle



n^{th} -order algebraic theories and their maps form a category $\mathbb{L}aw_n$.

Is there a monad correspondence
for n^{th} -order algebraic theories?

The universal property of $\mathcal{L}aw_n$

Thm

$\mathcal{L}aw_n$ is locally strongly finitely presentable.

$$\mathcal{L}aw_n \simeq \text{Cart}(\mathbb{L}_{n+1}, \text{Set})$$

$$\text{sifted cocompletion} \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ)$$

free cartesian category on an $(n+1)$ -tetrable point

The universal property of Law_n ($n=1$)

Thm

Law_1 is locally strongly finitely presentable.

$$\text{Law} = \text{Law}_1 \simeq \text{Cart}(\mathbb{U}_2, \text{Set})$$

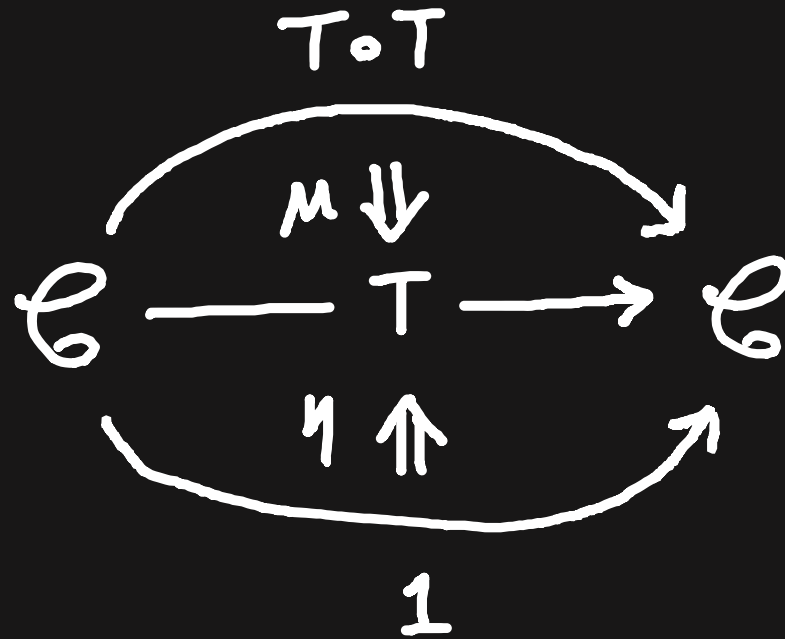
$$\text{sifted cocompletion} \simeq \text{Sind}(\mathbb{U}_2^{\circ})$$

free cartesian category on an exponentiable object

IV. RELATIVE MONADS

Higher-order algebraic theories $\overset{\textcircled{1}}{\sim}$ Relative monads $\overset{\textcircled{2}}{\sim}$ Monads

Monads

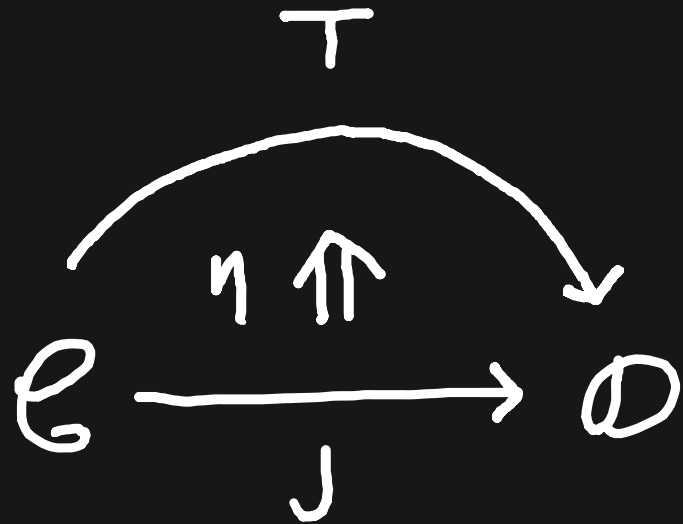


subject to associativity and unitality laws

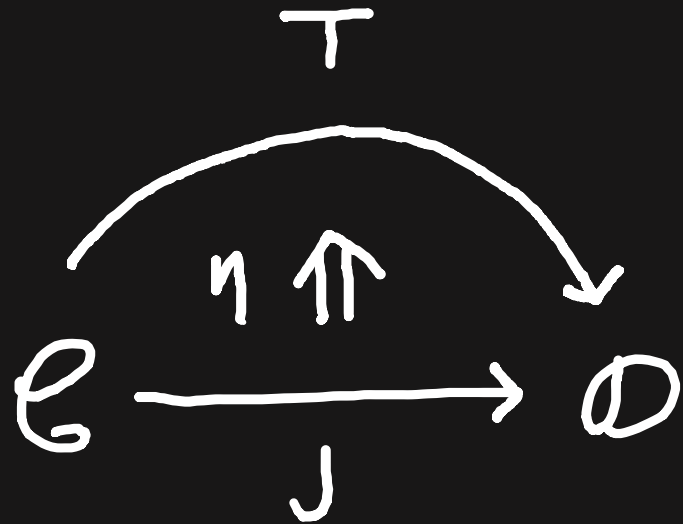
Relative monads

$$\mathcal{C} \xrightarrow{J} \mathcal{D}$$

Relative monads



Relative monads



But what about multiplication?

Relative monads

A J -relative monad $(T, \eta, (-)^*)$ consists of

• a function $T: |E'| \rightarrow |E|$

• a transformation $\eta_x: JX \rightarrow TX$

• a transformation $(-)^*_{x,y}: \mathcal{E}(JX, TY) \rightarrow \mathcal{E}(TX, TY)$

satisfying unitality and associativity conditions.

Relative monads

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satisfying unitality and associativity conditions.

Prop: a monad is precisely an Id -relative monad.

We will consider the functor

$$\mathbb{L}_{n+1}^{\circ} \xrightarrow{\mathcal{Y}} \text{Sind}(\mathbb{L}_{n+1}^{\circ}) \simeq \text{Law}_n$$

(intuitively a Yoneda embedding)

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$$\mathbb{L}_{n+1}^{\circ} \xrightarrow{\mathcal{L}} \text{Sind}(\mathbb{L}_{n+1}^{\circ}) \simeq \text{Law}_n$$

(intuitively a Yoneda embedding)

When $n=0$:

$$\mathbb{L}_1^{\circ} \xrightarrow{\mathcal{L}} \text{Sind}(\mathbb{L}_1^{\circ})$$



free cocartesian
category on a point

We will consider the functor

$$\mathbb{L}_{n+1}^{\circ} \xrightarrow{\mathcal{Y}} \text{Sind}(\mathbb{L}_{n+1}^{\circ}) \simeq \text{Law}_n$$

(intuitively a Yoneda embedding)

When $n=0$:

$$\text{FinSet} \simeq \mathbb{L}_1^{\circ} \xrightarrow{\mathcal{Y}} \text{Sind}(\mathbb{L}_1^{\circ}) \simeq \text{Set}$$

↑
free cocartesian
category on a point

Thm

$$\text{Law}_{n+1} \cong \text{RMnd}_{+-\text{lin}}(\mathcal{K}_{\mathbb{L}_{n+1}^\circ})$$

$(n+1)^{\text{th}}$ -order algebraic theories

$+-\text{linear } (\mathbb{L}_{n+1}^\circ \longleftrightarrow \text{Law}_n) -$
relative monads

Thm

$(n+1)^{\text{th}}$ -order algebraic theories

Law_{n+1}

\simeq

$\text{RMnd}_{+-\text{lin}}(\alpha_{\mathbb{U}_{n+1}^\circ})$

$+-\text{linear } (\mathbb{U}_{n+1}^\circ \longleftrightarrow \text{Law}_n) - \text{relative monads}$

$$\mathbb{U}_{n+1}^\circ \xleftrightarrow{\alpha} \text{Sind}(\mathbb{U}_{n+1}^\circ) \simeq \text{Law}_n$$



Thm

$$\text{Law}_{n+1} \cong \text{RMnd}_{+-\text{lin}}(\mathcal{L}_{n+1}^\circ)$$

$(n+1)^{\text{th}}$ -order algebraic theories

$+-\text{linear } (\mathcal{L}_{n+1}^\circ \longleftrightarrow \text{Law}_n) \text{-relative monads}$

When $n=0$, this says that algebraic theories are equivalent to $(\text{FinSet} \longleftrightarrow \text{Set})$ -relative monads.

Thm

technical condition, imposing
invertibility of a canonical strength

$$\mathbf{Law}_{n+1} \cong \mathbf{RMnd}_{+-\text{lin}}(\mathcal{K}, \mathbb{U}_{n+1}^\circ)$$

$(n+1)^{\text{th}}$ -order algebraic
theories

$+-\text{linear}$ ($\mathbb{U}_{n+1}^\circ \longleftrightarrow \mathbf{Law}_n$)-
relative monads

Thm

$$\mathbf{Law}_{n+1} \cong \mathbf{RMnd}_{+-\text{lin}}(\mathcal{K}_{\mathbb{L}_{n+1}^\circ})$$

$(n+1)^{\text{th}}$ -order algebraic theories

$+-\text{linear } (\mathbb{L}_{n+1}^\circ \longleftrightarrow \mathbf{Law}_n)\text{-relative monads}$

(But what about ordinary monads?)

$$\mathbb{L}_1 \xrightarrow{L} \mathcal{A}$$

Theory

Relative monad

Monad

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{L}$$

Theory

$$\mathbb{U}_1^{\circ} \xrightarrow{T_L} \text{Sind}(\mathbb{U}_1^{\circ})$$

Relative monad

Monad

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc} & \text{Sind}(\mathbb{U}_1^\circ) & \\ \mathcal{A} \nearrow & & \downarrow \text{Lan}_{\mathcal{A}} T_L \\ \mathbb{U}_1^\circ \xrightarrow{T_L} & \text{Sind}(\mathbb{U}_1^\circ) & \end{array}$$

Theory

Relative monad

Monad

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc} & \text{Sind}(\mathbb{U}_1^\circ) & \\ \mathcal{A} \nearrow & & \downarrow \text{Lan}_{\mathcal{A}} T_L \\ \mathbb{U}_1^\circ & \xrightarrow{T_L} & \text{Sind}(\mathbb{U}_1^\circ) \end{array}$$

$$\begin{array}{c} T'_L = \text{Lan}_{\mathcal{A}} T_L \\ \curvearrowright \\ \text{Sind}(\mathbb{U}_1^\circ) \end{array}$$

Theory

Relative monad

Monad

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

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$$\begin{array}{c} T'_L = \text{Lan}_{\mathcal{A}} T_L \\ \curvearrowright \\ \text{Sind}(\mathbb{U}_1^\circ) \simeq \text{Set} \end{array}$$

Theory

Relative monad

Monad

$$\mathbb{U}_2 \xrightarrow{L} \mathcal{A}$$

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc}
 & \text{Sind}(\mathbb{U}_1^\circ) & \\
 \mathcal{A} \nearrow & & \downarrow \text{Lan}_{\mathcal{A}} T_L \\
 \mathbb{U}_1^\circ \xrightarrow{T_L} & \text{Sind}(\mathbb{U}_1^\circ) &
 \end{array}$$

$$\begin{array}{c}
 T'_L = \text{Lan}_{\mathcal{A}} T_L \\
 \curvearrowright \\
 \text{Sind}(\mathbb{U}_1^\circ) \simeq \text{Set}
 \end{array}$$

Theory

Relative monad

Monad

$$\mathcal{U}_2 \xrightarrow{L} \mathcal{A}$$

$$\mathcal{U}_2^\circ \xrightarrow{T_L} \text{Sind}(\mathcal{U}_2^\circ)$$

$$\mathcal{U}_1 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc} & \text{Sind}(\mathcal{U}_1^\circ) & \\ \mathcal{A} \nearrow & & \downarrow \text{Lan}_{\mathcal{A}} T_L \\ \mathcal{U}_1^\circ \xrightarrow{T_L} & \text{Sind}(\mathcal{U}_1^\circ) & \end{array}$$

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Theory

Relative monad

Monad

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 \end{array}$$

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc}
 & \text{Sind}(\mathbb{U}_1^\circ) & \\
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 \end{array}$$

$$\begin{array}{c}
 T'_L = \text{Lan}_{\mathcal{A}} T_L \\
 \curvearrowright \\
 \text{Sind}(\mathbb{U}_1^\circ) \simeq \text{Set}
 \end{array}$$

Theory

Relative monad

Monad

$$\mathbb{U}_2 \xrightarrow{L} \mathcal{A}$$

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Theory

Relative monad

Monad

$$\mathbb{U}_2 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc} & \text{Sind}(\mathbb{U}_2^\circ) & \\ \mathcal{A} \nearrow & & \downarrow \text{Lan}_{\mathcal{A}} T_L \\ \mathbb{U}_2^\circ & \xrightarrow{T_L} & \text{Sind}(\mathbb{U}_2^\circ) \end{array}$$

$$\begin{array}{c} T'_L = \text{Lan}_{\mathcal{A}} T_L \\ \curvearrowright \\ \text{Sind}(\mathbb{U}_2^\circ) \simeq \text{Law} \end{array}$$

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

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$$\begin{array}{c} T'_L = \text{Lan}_{\mathcal{A}} T_L \\ \curvearrowright \\ \text{Sind}(\mathbb{U}_1^\circ) \simeq \text{Set} \end{array}$$

Theory

Relative monad

Monad

⋮

$$\mathbb{U}_3 \xrightarrow{L} \mathcal{A}$$

$$\mathbb{U}_3^\circ \xrightarrow{T_L} \text{Sind}(\mathbb{U}_3^\circ)$$

$$T'_L = \text{Lan}_\pm T_L$$

$$\text{Sind}(\mathbb{U}_3^\circ) \simeq \text{Law}_2$$

$$\mathbb{U}_2 \xrightarrow{L} \mathcal{A}$$

$$\begin{array}{ccc} & \text{Sind}(\mathbb{U}_2^\circ) & \\ \mathcal{A} \nearrow & & \downarrow \text{Lan}_\pm T_L \\ \mathbb{U}_2^\circ & \xrightarrow{T_L} & \text{Sind}(\mathbb{U}_2^\circ) \end{array}$$

$$T'_L = \text{Lan}_\pm T_L$$

$$\text{Sind}(\mathbb{U}_2^\circ) \simeq \text{Law}$$

$$\mathbb{U}_1 \xrightarrow{L} \mathcal{A}$$

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$$T'_L = \text{Lan}_\pm T_L$$

$$\text{Sind}(\mathbb{U}_1^\circ) \simeq \text{Set}$$

Theory

Relative monad

Monad

Thm

$$\begin{aligned} \text{Law}_{n+1} &\simeq \text{RMnd}_{+-\text{lin}}(\mathcal{K}_{\mathbb{L}_{n+1}^\circ}) \\ &\text{+-linear } (\mathbb{L}_{n+1}^\circ \longleftrightarrow \text{Law}_n)\text{-} \\ &\text{relative monads} \\ &\simeq \text{Mnd}_{+-\text{lin}, \text{sf}}(\text{Law}_n) \\ &\text{sifted-cocontinuous +-linear} \\ &\text{monads on } \text{Law}_n \end{aligned}$$

$(n+1)^{\text{th}}$ -order algebraic theories

$\text{RMnd}_{+-\text{lin}}(\mathcal{K}_{\mathbb{L}_{n+1}^\circ})$
 $\text{+-linear } (\mathbb{L}_{n+1}^\circ \longleftrightarrow \text{Law}_n)\text{-}$
 relative monads

$\text{Mnd}_{+-\text{lin}, \text{sf}}(\text{Law}_n)$
 $\text{sifted-cocontinuous +-linear}$
 $\text{monads on } \text{Law}_n$

Idea

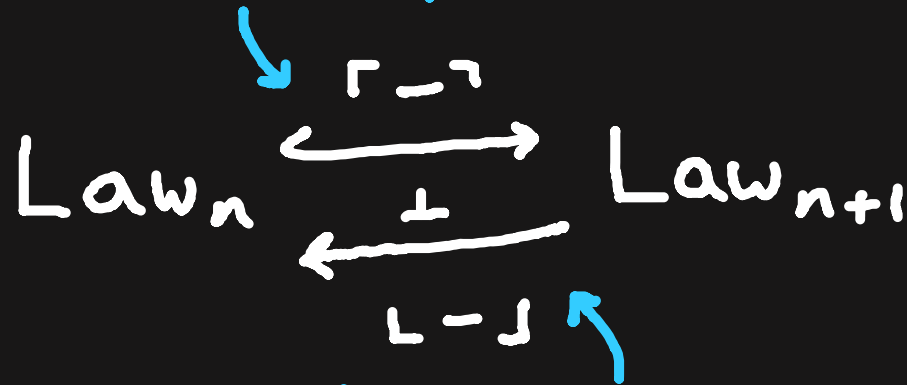
Variable-binding structure is algebraic over algebraic structure.

Coreflections

Thm

There is a coreflection of categories:

inclusion of presentations



discard $(n+1)^{\text{th}}$ -order terms

Coreflections

There is a chain of coreflections,

$$\text{Law}_1 \begin{array}{c} \longleftarrow \\ \perp \\ \longrightarrow \end{array} \text{Law}_2 \begin{array}{c} \longleftarrow \\ \perp \\ \longrightarrow \end{array} \dots \begin{array}{c} \longleftarrow \\ \perp \\ \longrightarrow \end{array} \text{Law}_\omega$$

allowing us to freely extend or restrict the order of a higher-order algebraic theory.

Prop.
Let $L : \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.
The corresponding monad is given by

$$T_L(X) \cong \mathbb{L}L + \lceil X \rceil$$

Prop.

Let $L : \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.

The corresponding monad is given by

$$T_L(X) \cong \mathbb{L}L + \lceil X \rceil$$

When $n=0$, this says that T_L takes a set of constants, freely adds them to L , then extracts the new constants formed from those in X under the operations of L .

Summary

- Higher-order algebraic theories generalise algebraic theories by (higher-order) variable binding operators.
- There are coreflections $\text{Law}_n \overset{\leftarrow}{\underset{\perp}{\rightleftarrows}} \text{Law}_{n+1}$.
- $\text{Law}_n \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ)$
- $\text{Law}_{n+1} \simeq \text{Mnd}_{\text{sf}, +\text{-lin}}(\text{Law}_n)$

Algebras

Let $L: \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory,
and let $T_L: \text{Law}_n \rightarrow \text{Law}_n$ be the corresponding monad.

$$T_L\text{-Alg} \simeq \text{Cart}(\mathcal{L}, \text{Set})$$